

GUIDE FOR APPLICANTS

PHD@TEC21

Horizon Europe – MSCA 2025 COFUND

This document provides practical information on the application process for the open PhD positions of the PhD@Tec21 programme co-funded as part of the Marie Skłodowska-Curie action COFUND under the grant agreement #101217261.

Fourteen PhD positions will be offered in two cohorts starting in autumn 2026 and 2027.

For more information about the program, please visit the website <https://www.tec21.fr/phd-tec21>

Contacts:

Programme Coordinator: Guillaume Chambon guillaume.chambon@inrae.fr

Programme Manager: Amélie Bataille - amelie.bataille@univ-grenoble-alpes.fr

Table of contents

1. About PhD@Tec21	3
1.1 General information	3
1.2 Working employment and conditions	3
1.3 Training, supervision and career guidance: typical activities of fellows	4
1.4 Fellows obligations: open access, ethical issues, other	4
2. About the recruitment campaign	4
2.1. General information about the call.....	4
2.2. Schedule	5
2.3. Where to find the open positions?	5
2.4. Information webinars for applicants.....	5
2.5. Who can apply?	5
2.6. Restriction	6
2.7. Equal opportunities.....	6
3. Application procedure.....	6
3.1. How to apply?	6
3.2. Supporting documents	8
4. Evaluation and selection process	10
4.1. Eligibility check (Step 1)	10
4.2. Evaluation of merits (Step 2)	10
4.3. Remote interviews (Step 3)	11
4.4. Final ranking and information to applicants.....	12
5. Redress opportunities	12
6. Ethics	12
7. Appendix A: application statement (example)	13
8. Appendix B: Ethics assessment form.....	14
9. Appendix D: Redress request form	19

1. About PhD@Tec21

1.1 General information

The socio-economic challenges associated with the major industrial and environmental transitions of the 21st century require tackling engineering problems of increasing complexity. The PhD@Tec21 doctoral programme is dedicated to training the next generation of experts in mechanical and process engineering. The fellows will become tomorrow's leaders in strategic application areas such as environmental engineering, bio-engineering, sustainable energy, transportation, ecotech and cleantech, etc. The doctoral programme is run by the Laboratoire d'Excellence Tec21, supported by the Marie Skłodowska-Curie Action COFUND. It offers full-time PhD positions at the Université Grenoble Alpes – France, with secondment periods in industry or in non-French research laboratories. The fellows will benefit from high-level, interdisciplinary supervision and training and will have access to cutting-edge experimental and computing facilities.

The doctoral programme funds PhD positions in four main axes:

1. Coupling fluid and solid mechanics
2. Coupling fluid mechanics with bio-physical phenomena
3. Mechanics of and for the living matter
4. Advanced numerical tools and experimental methods for mechanics

You can find more information about these research axes on Tec21 website:

<https://www.tec21.fr/research>

The research work will be conducted in one of the 9 partner laboratories of Tec21.

1.2 Working employment and conditions

As non-permanent full-time employees under French law, fellows will benefit from free social security coverage and social benefits (for health, maternity/paternity leave if applicable, disability and death, unemployment, and occupational accidents, childhood care), and retirement financed by social contributions. Local public transportation costs will be taken in charge up to 75%.

Numerous students choose to come to Grenoble for an exceptional living environment, just a stone's throw from the mountains, its transport network, the quality of its university teaching and its cutting-edge research. The city of Grenoble was labelled European Green Capital 2022, and was recently ranked first city of the world in the quality-of-life category by an international consultancy.

Fellows will receive a financial support for a period of exactly 36 months. They receive 2669 € as monthly gross salary¹ (approximate net monthly salary between 2050 € and 2152 €), which is more than adequate to support living in Grenoble. Registration fees to enrol in the Doctoral School (around 500 € per year) as well as potential visas expenses will be covered by PhD@Tec21.

Fellows will be working 37 hours per week and will be entitled to up to 45 days of annual leave per year. The hosting laboratories will provide fellows with a high-quality work environment: office space, personal computer, scientific equipment, access to library and shared lab facilities (e.g., mechanical and electrical workshops), printing facilities, etc. Fellows will be offered the opportunity to take French lessons in order to quickly learn how to

¹ Fellows might benefit from an additional allowance depending on their family situation (74 € as a monthly net allowance)

communicate with their French environment. The International Student Office of UGA will assist the fellows in searching for accommodation in Grenoble and offer support for administrative issues including visas, residence permit, social security, bank, etc.

1.3 Training and career development

Training and career development will be provided at various levels:

- Scientific training: at UGA, PhD students have the opportunity to follow a minimum of 120 hours of doctoral courses/workshops: 40 hours for core Doctoral School scientific courses, 40 hours for multidisciplinary lectures/seminars, 40 hours dedicated to professional preparation.
- Secondments: all the fellows funded by the programme will perform a secondment to an external partner. These secondments will be hosted either by an international academic institution (academic secondments) or by an industrial partner (industrial secondments). The secondments will take place during the 2nd year of the PhD, with a duration of 2 months.
- Events & Network: Fellows will be offered a vast choice of networking events and actions proposed by the PhD@Tec21 programme. Among others, they will have the chance to take part in the Tec21 Winter School. Fellows will be involved in at least one communication or outreach activity per year (UGA events, lab open days, contribution to popular science articles, engagement in social networks). They will also have the opportunity to benefit from events and actions organised by the Marie Curie Alumni Association.
- Career oriented: Numerous training courses will be open to PhD@Tec21 fellows to help them enrich, develop, and valorise their transferable skills. Integrity and ethics, gender and diversity considerations as well as data management and open science training will be a key training part of PhD@Tec21 Programme.

1.4 Fellows obligations: open access, ethical issues, others

Open science and open data are policy priorities for French universities, French research institutes as well as the European Commission. It is expected from the fellows to make all their peer-reviewed scientific publications relating to their results available in open access, using dedicated platforms such as HAL and promoting gold open access publication options whenever possible.

Fellows will be required to comply with the applicable ethical principles and regulations relating to their research topics. All applicants are asked to complete an Ethics questionnaire to declare any aspects of their planned research activities that could be subject to ethical issues. The questionnaires will be reviewed by an Ethics Mentor, who will provide specific recommendations for each research topic.

It will also be required to acknowledge the support from the European Union when disseminating fellows' results (in any form, including electronic). All dissemination materials must display the EU emblem and include the required acknowledgment².

2. About the recruitment campaign

2.1. General information about the call

You can find all information about the call on the website of the programme:

<https://www.tec21.fr/phd-tec21>

² Further information and details will be provided to the fellows once they arrive at UGA

2.2. Schedule

PHASE	KEY STEP	DATES
DISSEMINATION AND APPLICATIONS	Opening of the call	November 1st 2025
	Administrative information webinar #1	January 20th 2026, 15:00 CET
	Scientific information webinar	January 27 th and 28 th 2026, 11:00 CET
	Administrative information webinar #2	February 13th 2026, 10:00 CET
	Closing of the call / deadline for application	February 28th 2026, 13:00 CET
EVALUATION AND SELECTION ³	1. Eligibility check	March 1st - April 15th 2026
	2. Evaluation of merits	
	3. Remote interviews	April 16th - May 15th 2026
	4. Final ranking	May 16th - May 31st 2026
TRAINING	Beginning of the PhD projects	October 2026

2.3. Where to find the open positions?

From November 1st 2025 to February 28th 2026, the PhD topics are published online. Detailed descriptions of each topic can be downloaded from the section “PhD positions” of the PhD@Tec21 website:

<https://www.tec21.fr/phd-tec21#phd-positions>

as well as from the Euraxess portal:

<https://euraxess.ec.europa.eu/jobs>

2.4. Information webinars for applicants

Two Administrative information webinars and one Scientific information webinar will be organized to provide information to applicants and answer their questions. The administrative information webinars will cover the application and selection rules of the programme. The scientific information webinars will cover the proposed research topics in the presence of all supervisors. **Please note that, in order to ensure that all applicants have access to the same information, direct communication between applicants and supervisors outside of this scientific webinar is prohibited.**

Connection links for these webinars can be accessed from the “About” section of the PhD@Tec21 website:

<https://www.tec21.fr/phd-tec21#about>

If applicants are unavailable on the scheduled dates, recordings of the webinars will be made available on the PhD@Tec21 website.

2.5. Who can apply?

The programme is open to applicants of all nationalities. To be eligible, applicants must meet all of the following conditions:

1. Have completed a Master’s degree or an equivalent diploma by the deadline of the programme’s call (28 February 2026).

³ Tentative schedule

2. Do NOT already be in possession of a Doctoral degree.
3. Do NOT have resided or carried out their main activity (work, studies, etc.) in France for more than 12 months in the 3 years immediately preceding the deadline of the programme's call (mobility rule). Compulsory national service, short stays such as holidays, or time spent as part of a procedure for obtaining refugee status under the Geneva Convention, will not be taken into account.
4. Be fluent in English (at least B2 level).
5. Be available for employment at the enrolment date (1 October 2026).

2.6. Restriction

All the partner laboratories of PhD@Tec21 programme are classified as Restricted Regime Zones (ZRR). This strong legal protection regime targets the protection of strategic knowledge and know-how and sensitive technologies. In this context, all recruitments must be approved by the French security and defence authority before the employment contract is signed. This condition applies to everyone, regardless of nationality.

2.7. Equal opportunities

In keeping with its engagement for an ethical, inclusive and responsible human resources (HR) policy, the Université Grenoble Alpes is committed to the effective implementation of equality, parity and diversity in all its procedures. With the adoption of European strategic guidelines under the [Human Resources Strategy for Researchers \(HRS4R\) programme](#) since 2021 and the [Professional Gender Equality Plan \(PGE\)](#), the Université Grenoble Alpes has set up an ambitious action plan to fight against all forms of discriminations based on gender, race, language, religion, economic status or disability. This political commitment to inclusion and against discrimination will be strictly adhered upon throughout the selection and recruitment process of the PhD@Tec21 programme.

3. Application procedure

Applications can be submitted during Phase 1 of our application process, which runs from November 1st 2025 to February 28th 2026.

The deadline for application is February 28, 2026 – 12:00 GMT (13:00 CET).

All applications must be submitted online, using the application platform accessible on this link:

<https://phd-at-tec21.sciencescall.org/>

3.1. How to apply?

3.1.1. Create your account on the platform

To apply for our PhD topics, you will need to create an account on the application platform and upload your supporting documents (see the next section for details).

You will find the procedure for the creation of your account directly on the platform in the "[Instruction to applicants](#)" section.

3.1.2. Submit your application

To submit your application, you must first log in to your account, then click on "My projects" and on



You will reach the first step

In the "Title" box, insert your SURNAME and Name

Then scroll the list below to choose the PhD topic you want to apply for, and click

Next step

You will reach the second step **2** Author(s)

You will see your name as the "Project Leader".

PROJECT LEADER	
	☒ John Smith (john_smith@mymail.com) Add an affiliation...

Click on [Add an affiliation](#) under your name.

In the first line (reference tool) enter the name of the university where you obtained your master's degree (or equivalent) and pick it from the drop-down list. In case it does not appear, chose the nearest result (this information is not taken into account in the selection process).

Then click **Ok**

Your affiliation will appear under your name.

PROJECT LEADER	
	☒ John Smith (john_smith@mymail.com) Add an affiliation... My University

You can now click **Next step** to reach the third step **3** File(s)

In the "Fulltext of the answer to the call for proposals" section, click on **Parcourir...** (chose a file), and select your application form from your computer.

Then click **Upload**

Your file will be converted to a PDF document and uploaded to the system after a few seconds. It should appear as shown below:

FILE	ACTIONS
 APPLICATION FORM SMITH John.pdf	

Please, ignore the "File license" and "Supplementary data" sections.

Scroll directly to the page footer and click **Next step** to reach the **4** Overview

Your application should appear as below.

NEW PROJECT

1 Metadata
2 Author(s)
3 File(s)
4 Overview

SMITH John
John Smith 1, @
1: My University

Subject : : PhD application steps 1&2
Topics : : Topic 1. The topic I have chosen in the list of PhD topics offered by PhD@Tec21 doctoral programme



PDF

You can now click on the button to complete the procedure.

A dialogue box will pop up to confirm that your application has been saved. You can modify your application in “MY SPACE / My projects” until the application phase is closed.

By the application deadline, your documents will be transmitted to our Scientific external panel for Eligibility check and Evaluation of merits (steps 1 & 2).

Please note that if you wish to apply for more than one PhD topic, you must complete the entire process for each application.

3.2. Supporting documents

To be eligible, your application must include all of the following documents, in English. These must be uploaded to the platform as a single file named as below:

APPLICATION_FORM_SURNAME_Name

Please do not change the name of the file, just add your SURNAME_Name at the end of it, for example:

APPLICATION_FORM_SMITH_John.

1. CV.

Your Curriculum Vitae (CV). The use of the European standard template - [Europass](#) - is recommended, but not mandatory.

2. Academic transcripts.

A copy of your academic transcripts (Bachelor and Master degrees), accompanied by an official English translation if they are issued in another language.

3. Recommendation.

At least two reference names and contacts for recommendation.

4. Personal statement.

A personal statement including personal introduction, qualifications and achievements, career development objectives, general motivation for participating in the programme, and description of research interests in relation to the targeted research topic is requested. This document must be written by the applicant and should not exceed 2 pages.

5. Work plan.

A two-page work plan proposal related to the selected research topic. The aim here is to explain how you would address the research topic. This is an opportunity for you to share your understanding of the scientific challenges, outline the work-plan, and propose potential directions and methods. Although the main focus of the research topics are pre-defined, there will be flexibility in how these topics can be addressed. If applicable, share your vision of the interdisciplinary and intersectoral aspects of the topic and their potential benefits. To help you in this exercise, you will have the opportunity to ask questions to the supervisors of the research topics during the **dedicated scientific webinar that will be held on the 27th of January 2026, 10:00 CET.**

6. Ethics.

The ethics assessment form duly completed and signed (see section 6 and appendix B).

7. Application statement.

A signed statement (example in appendix A) certifying that:

- you have not resided nor carried out your main activity (work, studies, etc.) in France for more than 12 months in the 3 years immediately preceding the 28th of February 2026,
- you will attend the interview if your application is selected for Step 3,
- you will be available to enter into a full-time doctoral contract if your application is successful.

Please note that you may be asked to provide hard evidence to prove that you fulfil the mobility rule. This could include copies of previous employment contracts, utility bills, entry/exit stamps in passport, etc.

8. Identity.

A copy of your passport information page or your identity card (ID).

9. Master diploma.

A certificate of Master's degree (or equivalent), accompanied by an official English translation if it is issued in another language.

10. Language.

A proof of English proficiency (by certificate or medium of instruction). This may be proven by: (i) an English proficiency test certifying at least a B2 Level, such as TOEIC > 800; TOEFL > 79; CEFR > C1 or IELTS ≥ 6.5; (ii) having obtained a Degree with English as medium of instruction (official document from the institution).

To help you organise your application documents, you can download the editable application template from the [PhD@Tec21 website](#) or the [online application platform](#).

4. Evaluation and selection process

4.1. Eligibility check (Step 1)

After the deadline of the call, each application will be checked for eligibility. Applications will be rejected in the following cases:

1. incomplete applications;
2. applications which do not comply with eligibility criteria regarding mobility rule and applicant status;
3. applications which do not meet the eligibility requirements (e.g., English proficiency certificate below the required threshold or applicant not currently in possession of a Master's degree,).

Following this check, applicants will be informed of the eligibility of their application. Only applications that pass this initial eligibility check will proceed to the second step. The eligibility process stage shall not take longer than three weeks after the application deadline.

4.2. Evaluation of merits (Step 2)

For each research topic, three experts from PhD@Tec21 scientific external panel⁴ will evaluate the applications and provide an individual evaluation report, including scoring on the basis of the selection criteria indicated below.

Step 2: Selection criteria to progress to Step 3	Scoring	Threshold	Priority
Total	/100	70/100	Tiebreaker
Academic excellence, individual achievements and scientific skills (based on CV and personal statement): (i) academic degrees-and background, (ii) academic grades and rankings at the Master's level, (iii) portfolio of research projects and outputs, (iv) publications and authorship, (v) participation in exchange programmes and conferences.	/30	18/30	1
Quality of the work plan: (i) clarity of the objectives, (ii) match between applicant profile and research topic, (iii) relevance of the interdisciplinary and inter-sectoral aspects and expected benefits, (iv) feasibility and methodological framework of the work plan.	/30	18/30	2
Quality of motivation reflected in the personal statement: (i) motivation to follow the programme, (iii) clarity of the professional project, (iv) ability to anticipate the benefits of the doctoral research and training.	/20	12/20	3
Experience level (based on CV and personal statement): (i) training experiences: scientific & non-scientific courses, soft skills, (ii) work experience (internships, other), mobility, (iii) other forms of experience (volunteering, extra professional skills, etc.)	/20	12/20	4

For each research topic, the 5 best applicants scoring above the 70/100 threshold will be retained for the third step. In the event of a tie, the priority ranking of the selection criteria will be used as a tiebreaker.

⁴ The scientific external panel comprises 25 national and international, academic and non-academic experts with extensive knowledge of the fields of research developed in the research topics. Members of the panel are not affiliated with the Université Grenoble Alpes.

Following the evaluation by the three experts, applicants will be informed by email of the outcome (global score, ranking and whether they have been selected for an interview). This evaluation of merit will take approximately one month in total.

4.3. Remote interviews (Step 3)

Applicants who are short-listed at Step 2 will be invited to participate in a remote interview via videoconference. These interviews are the final stage of the selection process and are expected to take place in April-May 2026.

For each research topic, a committee comprising 3 external experts from the scientific expert panel and 1 internal expert from PhD@Tec21 Management Team will be appointed. The committee will evaluate applicants during interviews and provide a joint evaluation report, including scoring on the basis of the selection criteria listed below. One supervisor of the research topic will also be invited to participate in the interviews to answer any questions from applicants or experts, but they will not be involved in the final scoring.

Step 3 : Selection criteria for final ranking	Scoring	Threshold	Priority
Total	/100	70/100	Tiebreaker
Research abilities and technical skills: (i) academic degrees and transcripts, (ii) portfolio of research projects and outputs, (iii) publications and authorship, (iv) participation in exchange programmes and conferences, (v) scientific & non- scientific courses, soft skills.	/20	12/20	1
Clarity of the presentation and motivations: (i) ability to give a detailed presentation of his/her interests for participating in the programme and carrying out the research topic, (ii) long term career prospects and relevance of the topic for the career development, (iii) interest and ability to carry out an inter-sectoral, interdisciplinary and international research, (iv) possible outcomes of the project and benefits for industry and/or societal applications.	/20	12/20	2
Quality of the answers to the questions of the selection committee: (i) relevance of the answers, (ii) ability to construct a well-structured speech outside of a prepared presentation.	/20	12/20	3
Leadership potential and communication skills: (i) engaged and self-confident presentation, (ii) clarity and quality of the presentation, (iii) previous experience in leading tasks related to research.	/20	12/20	4
English proficiency: good/excellent level to communicate in English	/20	12/20	5

The interview will be in English and last 35 minutes, with the following predefined format:

- 10 minutes of presentation by the candidate based on prepared slides:
 - 5 minutes for:
 - (i) presentation of the academic background,
 - (ii) motivation to join the programme,
 - (iii) long-term career objectives and contribution of the programme to these objectives,
 - (iv) description of past academic and non-academic experiences,
 - (v) description of technical skills;
 - 5 minutes for:

- (i) description of the originality, challenges and objectives of the research topic,
 - (ii) description of the interdisciplinary and inter-sectoral dimension of the subject,
 - (iii) description of the possible outcomes of the project and benefits for industry and/or societal application;
- 25 minutes of questions and discussion with the committee: some questions will be common to all applicants, while others will be specific to their background and/or the research topics.

4.4. Final ranking and information to applicants

Following the interviews, the PhD@Tec21 Management Team will establish a final ranking for each research topic based on the scoring of the selection committee. The applicant ranked 1st on each research topic will be invited to integrate the programme. Those ranked 2nd, 3rd, 4th and 5th (above the 70/100 threshold) for each topic will be placed on a reserve list. In cases of identical scores, the priority ranking of the selection criteria will be used as a tiebreaker.

Applicants will be informed by email of the outcome of the interviews and their ranking position (retained, reserve list) for each research topics at the end of May 2026. Those who are eligible for recruitment will be asked to confirm by email within 7 days whether they accept the position. The list of selected laureates will then be published on [PhD@Tec21 website](#).

5. Redress opportunities

After receiving feedback on their application at the end of each evaluation step, applicants will have 5 days to request a redress procedure. They must complete the “Redress request form” (appendix D) and send it by email to the Programme Coordinator and the Programme Manager. The request will be examined by a Redress Committee comprising the Programme Coordinator, the Programme Manager, a representative from the HR department and a scientific expert. The Redress Committee will respond to requests within 5 working days.

6. Ethics

PhD@Tec21 is committed to complying with strict ethical rules under the Horizon Europe programme. All applicants must therefore complete the ethics assessment form in relation to their chosen research topic (appendix B) and enclose it with their application documents. This form should highlight any aspects of the research project that could potentially raise ethical issues and/or be subject to regulations. The form will be reviewed by the Ethics mentor of the programme, and updated with the PhD advisor at the beginning of the project. Depending on the recommendations of the Ethics Mentor, specific training on ethical issues will be proposed to the PhD fellow as part of their career development plan.

7. Appendix A: application statement (example)

You can use this template for your application statement or write your own. In the latter case, please ensure that your application statement includes a confirmation of your availability for the doctoral contract, your commitment to attending the remote interview if you are selected, and a declaration certifying your compliance with the mobility rule.

[Last Name]

[First Name]

[Address]

[Email]

To PhD@Tec21 management board

Application statement

I, the undersigned, certify that I have not resided nor carried out my main activity in France for more than 12 months between the 1st of March 2023 and the 28th of February 2026.

I hereby declare that I have no professional commitments to any third party for the period from October 1st 2026 and September 30th 2029, and will therefore be available to enrol into a full-time doctoral contract if my application is successful.

I also undertake to attend a remote interview if my application is selected for Step 3 of the selection process. The interview will be held between the 16th of April and the 15th of May 2026.

[Name]

[Date]

[Signature]

8. Appendix B: Ethics assessment form

Please fill the 9 sections of the ethics assessment form below, date and sign, and join it to the file of your application. You can download an editable file from PhD@tec21 website or from the [application platform](#).

1 HUMAN EMBRYONIC STEM CELLS AND HUMAN EMBRYOS		YES	NO
Does your activity involve Human Embryonic Stem Cells (hESCs)?		☐	☐
IF YES	Will they be directly derived from embryos within this project?	☐	☐
	Are they previously established cells lines? Are the cell lines registered in the European registry for human embryonic stem cell lines?	☐	☐
Does your activity involve the use of human embryos?		☐	☐
IF YES	Will the activity lead to their destruction?	☐	☐
Does your activity involve the use of other human embryonic or foetal tissues / cells?		☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐
2 HUMANS		YES	NO
Does your activity involve human participants?		☐	☐
IF YES	Are they volunteers?	☐	☐
	Are they healthy volunteers for medical studies?	☐	☐
	Are they patients for medical studies?	☐	☐
	Are they potentially vulnerable individuals or groups?	☐	☐
	Are they children/minors?	☐	☐

	Are there other persons unable to give informed consent?	☐	☐
Does your activity involve interventions (physical also including imaging technology, behavioural treatments, tracking and tracing, etc.) on the study participants?		☐	☐
IF YES	Does it involve invasive techniques (e.g. collection of human cells or tissues, surgical or medical interventions, invasive studies on the brain, TMS etc.)?	☐	☐
	Does it involve collection of biological samples?	☐	☐
Does your activity involve conducting a clinical study as defined by the Clinical Trial Regulation 536/2014 (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products)?		☐	☐
IF YES	Is it a clinical trial?	☐	☐
	Is it a low-intervention clinical trial?	☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐
3 HUMAN CELLS / TISSUES		YES	NO
Does your activity involve the use of human cells or tissues (other than those covered by section 1)?		☐	☐
IF YES	Are they human embryonic or foetal cells or tissues?	☐	☐
	Are they available commercially?	☐	☐
	Are they obtained within this project?	☐	☐
	Are they obtained from another project, laboratory or institution?	☐	☐
	Are they obtained from a biobank?	☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐
4 PROTECTION OF PERSONAL DATA		YES	NO

Does your activity involve processing of personal data?		☐	☐
IF YES	Does it involve the processing of special categories of personal data (e.g. sexual lifestyle, ethnicity, genetic, biometric and health data, political opinion, religious or philosophical beliefs)?	☐	☐
	IF YES	Does it involve processing of genetic, biometric or health data?	☐
	Does it involve profiling, systematic monitoring of individuals, or processing of large scale of special categories of data or intrusive methods of data processing (such as, surveillance, geolocation tracking etc.)?		☐
Does your activity involve further processing of previously collected personal data (including use of pre-existing data sets or sources, merging existing data sets)?		☐	☐
Is it planned to export personal data (data transfer) from the EU to non-EU countries?		☐	☐
Is it planned to import personal data (data transfer) from non-EU countries into the EU or from a non-EU country to another non-EU country?		☐	☐
Does your activity involve the processing of personal data related to criminal convictions or offences?		☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐
5 ANIMALS		YES	NO
Does your activity involve animals?		☐	☐
IF YES	Are they vertebrates?	☐	☐
	Are they non-human primates (NHP) (e.g. monkeys, chimpanzees, gorillas, etc.)?	☐	☐
	Are they genetically modified?	☐	☐
	Are they cloned farm animals?	☐	☐
	Are they an endangered species?	☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐

5 THIRD COUNTRIES	YES	NO
Will some of the activities be carried out in non-EU countries?	☐	☐
In case non-EU countries are involved, do the activities undertaken in these countries raise potential ethics issues?	☐	☐
Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?	☐	☐
Is it planned to import any material (other than data) from non-EU countries into the EU or from a non-EU country to another non-EU country?	☐	☐
Is it planned to export any material (other than data) from the EU to non-EU countries?	☐	☐
Does your activity involve low and/or lower-middle income countries?	☐	☐
Could the situation in the country put the individuals taking part in the activity at risk?	☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH	☐	☐
7 ENVIRONMENT, HEALTH AND SAFETY	YES	NO
Does this activity involve the use of substances or processes (or technologies) that may cause harm to the environment, to animals or plants (during the implementation of the activity or further to the use of the results, as a possible impact)?	☐	☐
Does this activity deal with endangered fauna and/or flora / protected areas?	☐	☐
Does this activity involve the use of substances or processes (or technologies) that may cause harm to humans, including those performing the activity (during the implementation of the activity or further to the use of the results, or the deployment of the technology as a possible impact)?	☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH	☐	☐
8 ARTIFICIAL INTELLIGENCE	YES	NO
Does this activity involve the development, deployment and/or use of Artificial Intelligence-based systems?	☐	☐

Could the AI based system/technique potentially stigmatise or discriminate against people (e.g. based on sex, race, ethnic or social origin, age, genetic features, disability, sexual orientation, language, religion or belief, membership to a political group, or membership to a national minority)?		☐	☐
Does the AI system/technique interact, replace or influence human decision-making processes (e.g. issues affecting human life, health, well-being or human rights, or economic, social or political decisions)?		☐	☐
Does the AI system/technique have the potential to lead to negative social (e.g. on democracy, media, labour market, freedoms, educational choices, mass surveillance) and/or environmental impacts either through intended applications or plausible alternative uses?		☐	☐
Does this activity involve the use of AI in a weapon system?		☐	☐
IF YES	Is it possible to establish which specific function/functions are automated/autonomous in the weapon system?	☐	☐
	If the weapon system has AI-enabled functions, could these functions render the weapon system indiscriminate?	☐	☐
	Does the design include the possibility of an autonomous mode for self-protection? If yes, can the system reliably distinguish between targets (threats) and non-targets?	☐	☐
Does the AI to be developed/used in the project raise any other ethical issues not covered by the questions above (e.g., subliminal, covert or deceptive AI, AI that is used to stimulate addictive behaviours, life-like humanoid robots, etc.)?		☐	☐
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY RESEARCH		☐	☐
9 OTHER ETHICS ISSUES		YES	NO
Are there any other ethics issues that should be taken into consideration?		☐	☐
IF YES	Please specify: Cliquez ou appuyez ici pour entrer du texte.		

[Name]

[Date]

[Signature]

9. Appendix D: Redress request form

If you wish to request a redress procedure, please fill in the following form and send it to the management board by email.

To: guillaume.chambon@inrae.fr

amelie.bataille@univ-grenoble-alpes.fr

[Last name]

[First name]

[Email]

[Date]

Research topic concerned by the redress request: [Title of the research topic]

Claim: [Please, develop here the reason(s) of your redress request (maximum 200 words)]

[Signature]